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06-04-01

PTO/SB/05 (11/00)

Approved for use through 10/31/2002. OMB 6651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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06/01/01

35 U.S.C. 372

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	830002-2003.1
First Inventor	Michel SADELAIN
Title	ARTIFICIAL ANTIGEN PRESENTING CELLS AND METHODS OF USE THEREOF
Express Mail Label No.	EL742692686US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 51]
4. ☒ Drawings (35 U.S.C. 113) [Total Sheets 12]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy) [UNSIGNED]
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)
8. Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☒ Computer Readable Form (CFR)
 - b. Specification sequence Listing on:
 - i. ☒ CD-ROM or CD-R (2 copies); and
 - ii. ☒ paper
 - c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

Name	Susan K. Lehnhardt	Registration No. (Attorney)	Reg. No. 33,943
Signature	<i>Susan Lehnhardt</i>	Date	June 1, 2001

Mailing Label Number: EL 742692686 US
Date of Deposit: 06/01/01

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	TO BE ASSIGNED
Filing Date	CONCURRENT
First Named Inventor	Michel SADELAIN
Examiner Name	TO BE ASSIGNED
Group/Art Unit	TO BE ASSIGNED
Attorney Docket No.	830002-2003.1

TOTAL AMOUNT OF PAYMENT

(\$ 1,138.00)

METHOD OF PAYMENT1. ☒

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
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Name

Frommer Lawrence & Haug LLP

Deposit
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Number

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☒ Charge any Additional Fee Required
Under 37 CFR 1.16 and 1.17☐ Charge the Issue Fee Set in 37 CFR
1.18 at the Mailing of the Notice of
Allowance2. ☐ Payment Enclosed☐ Check☐ Money Order☐ Other**FEE CALCULATION****BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					355.00

EXTRA CLAIM FEES

Total Claims	Extra Claim	Fee from below	Fee Paid
92	-20** =	72	X 9.00 = 648.00
3	-3** =		X =
Multiple Dependent		135.00	X = 135.00

** or number previously paid, if greater; for reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	130	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
107	490	207	245	Plant filing fee

SUBTOTAL (2) (\$783.00)

FEE CALCULATION**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205		Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or Cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request of reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	160	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use Proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive- unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional Applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$)

* Reduced by Basic Filing Fee

SUBMITTED BY

Typed or Printed Name

Susan K. Lehnhardt

Signature

Susan Lehnhardt

Date

6/1/01

Complete (if applicable)

Reg. Number

33,943

Deposit Account

50-0320

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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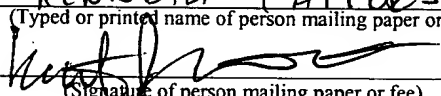
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Memorial Sloan-Kettering Cancer Center
U.S. Serial No.: Filed Concurrently
Filing Date : Filed Concurrently
For : ARTIFICIAL ANTIGEN PRESENTING CELLS
AND METHODS OF USE THEREOF
Examiner : To be Assigned

745 Fifth Avenue, New York, NY 10151

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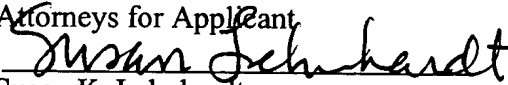
STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.821-1.825

Assistant Commissioner for Patents
Box Patent Application, Washington, D.C. 20231

Dear Sir:

The undersigned hereby states that the content of the printed Sequence Listing for the above-referenced application, and the computer readable copy, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e), are the same and do not contain any new matter.

In the unlikely event that the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commission to charge the cost of such petitions and/or other fees due to our Deposit Account No.: 50-0320.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant

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09872332-060101